



210

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: December 22, 2004 Name: Tadashi Horie Reg. No. 40,437 Signature: Tadashi Horie

**BRINKS
HOFER
GILSON
& LIONE**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: **Naoki YAMANE et al.**

Appln. No.: **10/809,278**

Filed: **March 25, 2004**

For: **COMMUNICATION TERMINAL**

Attorney Docket No: **9683/174**

Examiner: **To Be Assigned**

Art Unit: **2681**

Mail Stop Amendment
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

- ☒ Supplemental Information Disclosure Statement, Copy of European Search Report, Form PTO-1449, 2 Cited References (B3-4)
- ☒ Return Receipt Postcard

Fee calculation:

- ☐ No additional fee is required.
- ☐ Small Entity.
- ☐ An extension fee in an amount of \$_____ for a _____-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or processing fee in an amount of \$_____ under 37 C.F.R. § 1.17(_____).
- ☐ An additional filing fee has been calculated as shown below:

| | | | | | Small Entity | | | Not a Small Entity | |
|---|----------------------------------|-------|---------------------------------|---------------|--------------|-----------|----|--------------------|-----------|
| | Claims Remaining After Amendment | | Highest No. Previously Paid For | Present Extra | Rate | Add'l Fee | or | Rate | Add'l Fee |
| Total | | Minus | | | x \$25= | | | x \$50= | |
| Indep. | | Minus | | | x 100= | | | x \$200= | |
| First Presentation of Multiple Dep. Claim | | | | | +\$180= | | | +\$360= | |
| | | | | | Total | \$ | | Total | \$ |

Fee payment:

- ☐ A check in the amount of \$_____ is enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$_____. A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$_____ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

December 22, 2004
Date

Tadashi Horie
Tadashi Horie (Reg. No. 40,437)

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Date of Deposit

Tadashi Horie Reg. No. 40,437

Name of Applicant, Assignee or
Registered Representative

Signature

December 22, 2004

Date of Signature

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In re Appln. of: Naoki YAMANE et al.

Appln. No.: 10/809,278

Filed: March 25, 2004

For: COMMUNICATION TERMINAL

Attorney Docket No: 9683/174

Examiner: To Be Assigned

Art Unit: 2681

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicants hereby cite the following reference(s):

| U.S. DOCUMENT NUMBER Number-Kind Code (if known) | DATE | NAME |
|--|-------------|-------------|
| 5,765,170 A | 06/09/1998 | Morikawa |
| 2002/0046249 A1 | 04/18/2002 | Shiigi |

| FOREIGN DOCUMENT NUMBER Number-Kind Code (if known) | DATE | COUNTRY |
|---|-------------|----------------|
| EP 0 936 786 A2 | 08/18/1999 | EPO |

| OTHER ART – NON PATENT LITERATURE DOCUMENTS (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.) |
|---|
| Gong, L. DK12SPEC, "JAVA SECURITY ARCHITECTURE (JDK 1.2). Version 1.0" XP-002171445, December 6, 1998, 62 Pages |

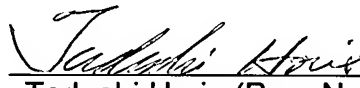
Applicants are enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2) as modified by 37 C.F.R. §1.98(a)(2)(ii). References B1-B4 were cited in an European Search Report issued in a counterpart EPO application. A copy of the Search Report is also enclosed with this Statement. As each of the listed references is in English, no further commentary is believed to be necessary, 37 C.F.R. §1.98(a)(3). Applicants respectfully request the Examiner's consideration of the above references and entry thereof into the record of this application.

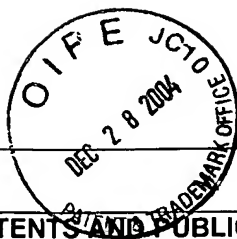
By submitting this Statement, Applicants are attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicants have calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

December 22, 2004
Date


Tadashi Horie (Reg. No. 40,437)



| | | |
|---|-------------------------------|------------------------|
| FORM PTO-1449 | SERIAL NO. 10/809,278 | CASE NO. 9683/174 |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary) | FILING DATE March 25, 2004 | GROUP ART UNIT 2681 |
| APPLICANT(S): Naoki YAMANE et al. | | |

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER <small>Number-Kind Code (if known)</small> | DATE | NAME | CLASS/ SUBCLASS | FILING DATE |
|---------------------|----|--|------------|----------|--------------------|----------------|
| | B1 | 5,765,170 A | 06/09/1998 | Morikawa | | |
| | B2 | 2002/0046249 A1 | 04/18/2002 | Shiigi | | |
| | B | | | | | |
| | B | | | | | |
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FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER <small>Number-Kind Code (if known)</small> | DATE | COUNTRY | CLASS/ SUBCLASS | TRANSLATION YES OR NO |
|---------------------|----|--|------------|---------|--------------------|--------------------------|
| | B3 | EP 0 936 786 A2 | 08/18/1999 | EPO | | |
| | B | | | | | |
| | B | | | | | |
| | B | | | | | |
| | B | | | | | |

EXAMINER
INITIAL

OTHER ART - NON PATENT LITERATURE DOCUMENTS

(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.

| | | |
|--|----|---|
| | B4 | Gong, L. DK12SPEC, "JAVA SECURITY ARCHITECTURE (JDK 1.2). Version 1.0" XP-002171445, December 6, 1998, 62 Pages |
| | B | |
| | B | |
| | B | |

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.